

Submission by Swaziland on behalf of the African Group

Under Workstream I of the ADP

1. The African Group welcomes the opportunity to submit its views, pursuant to paragraph 32 of document FCCC/ADP/2013/2, and reaffirm the observation by the inaugural ADP Co-Chairs that science, equity, national circumstances and flexibility, effectiveness and participation constitutes key elements of the 2015 Agreement in document ADP.2013.14
2. The Group emphasises leadership of developed countries in the 2015 Agreement, emphasizing the importance of such leadership in the implementation of the Bali Roadmap going to 2020, as well the extent to which developing countries can contribute to global climate action as enshrined in Article 4.7 of the Convention

Structure of the 2015 Agreement

3. The Group recognises that the legal outcome will be under the Convention, therefore enhance the implementation of the Convention and its Annexes, particularly the differentiated commitments outlined Article 4.1, 4.2, 4.3, 4.4, 4.5 on emission reductions, finance and technology, in the context of flexibilities outlined in Articles 4.6, 4.7, 4.8, 4.9, 4.10.
4. It is therefore our view that the future legal outcome should reflect the following dimensions:
 - a. Global commitments covering the global temperature goal, emission reduction goal and trajectory, goal for adaptation, goal for finance and technology support, and a principle-based reference framework reflecting the required global effort, and relative fair efforts by Parties
 - b. Record of commitments by all Parties in accordance with their national circumstances and common but differentiated responsibilities and respective capabilities, in an *ex ante*, with commitments covering mitigation, adaptation,

- finance and technology support; where commitments will be internationally assessed for adequacy against the principle based reference framework
- c. Accountability, building on Bali Action Plan 'agreed outcome', including the National Communications, Biennial/Update Reports, and facilitative compliance for developed country Parties, including a periodic Review of progress against global goals, and by Parties in relation to their relative fair efforts under the principle-based reference framework
 - d. Definition of the role of operational tools/mechanisms under the Convention by which the commitments are delivered to include accountability and transparency arrangements, the Adaptation Committee and Adaptation Framework, Market and Non-market tools to achieve commitments, the Financial Mechanism, the Technology Mechanism, the Response Measures Forum, the Capacity Building Forum, and a principle-based reference framework
5. Recognising that the draft negotiation text for the 2015 Agreement should be available no later than December 2014 with a view of making negotiating text available before May 2015, the African Group propose that in CoP 19, Warsaw defines the structure of the negotiation process along the areas reflected in paragraph 4 of this submission, which are consistent with paragraph 5 of Decision 1/CP.17

Operationalising science and equity

6. The inaugural ADP Co-Chairs in their concluding reflections identified science, equity, national circumstances and flexibility, effectiveness and participation (in paragraph 2 of ADP.2013.14) as key guiding elements for the 2015 Agreement, further in paragraph 8 identified potential of reconciling top-down and bottom-up approaches, framework to ensure that Party contribution are in accordance with science and equity, *ex-ante* process for assessing nationally determined contributions as emerging framing of the 2015 Agreement
7. The African group proposes a principle-based reference framework comprising of three elements,

- a. Determination of the required global effort to meet the long-term goal agreed in paragraph 4 of Decision 1/CP.16, comprising of the required mitigation and adaptation effort, including the associated finance and technology needs, noting that adaptation, finance and technology needs depend on the depth of commitments by Parties
 - b. Determination of relative fair efforts by Parties based on their historical responsibility, current capability, and development needs through an ensemble of metrics for each dimension of contribution, culminating in a range of relative contribution by each Party towards the global effort
 - c. An *ex ante* process by which nationally determined initial commitments are assessed for their adequacy against the required global effort (science imperative), and fairness (equity imperatives), with a view of reconciling national circumstances with global requirements in a fair manner
8. The principle-based reference framework provides for differentiation and flexibility where minimum thresholds for each type of commitment (mitigation, adaptation, support) are defined based on differentiated obligations of different Parties under the Convention; minimum mitigation effort consistent with Article 4.2 and 4.1 for Annex I Parties and all Parties respectively; minimum threshold for Annex II Parties for finance and technology support; whilst providing flexibility for mitigation and adaptation in developing countries, and mitigation and support for non-Annex I Parties in meeting their relative fair efforts.
 9. The African Group proposes an agenda item under SBSTA at CoP19 on Science and Equity to consider various approaches and methodologies for determining the required global effort and relative fair efforts by all Parties

Nature of commitments under the 2015 Agreement

10. The Group recognises that the legal outcome will be under the Convention, conforming with principles and provisions of the Convention, in particular historical responsibility, equity and common but differentiated responsibilities and respective capabilities, respect

for national circumstances and the development priorities of developing countries, therefore enhancing the implementation of the Convention and its Annexes

11. Annex I Parties commit to quantified economy-wide emission reductions assessed for adequacy against their relative fair efforts through an *ex ante* process of the principle based reference framework; non Annex I Parties commit to mitigation action that supports a deviation from business-as-usual, enhanced by support in the context of Article 4.7 of the Convention
12. Annex II Parties in an *ex ante* process, commit to quantified finance and technology support for mitigation and adaptation costs and needs commensurate with the required global effort reflected in the adaptation and mitigation goals; developing countries recording their NAMAs and NAP/As reflecting those requiring support
13. The African Group calls emphasise the importance of implementing the 'agreed outcome' so as to avoid inaction before 2020, as such highlight importance on the Conference of Parties at CoP19 in Warsaw to conclude on commitments for this period; whilst CoP20 should invite all Parties to commence with national consultations in 2015, with a view of presenting their respective initial commitments by December 2015, followed by an *ex-ante* assessment of such commitments immediately thereafter